

# National Lottery Grants for Heritage

## - £10,000 to £250,000

National Lottery Grants for Heritage allows us to fund projects that connect people and communities to the national, regional and local heritage of the UK.

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### Is this the right programme for you?

- Is your organisation looking to connect people and communities to heritage in the UK?
- Will your heritage project last up to five years?
- Do you require a grant of between £10,000 and £250,000?
- Are you a not-for-profit organisation, a private owner of heritage (grants up to £100,000) or a partnership?

If you answered yes to these questions, then National Lottery Grants for Heritage are for you.

## Overview

Using money raised by the National Lottery, the National Lottery Heritage Fund inspires, leads and resources the UK's heritage to create positive and lasting change for people and communities, now and for the future.

National Lottery Grants for Heritage is our open programme for all types of heritage projects in the UK.

This guidance is for applications for grants from £10,000 to £250,000. It will tell you more about the programme and the types of project we can fund.

### Priorities up to the end of 2022-2023 financial year

The impact of the coronavirus (COVID-19) pandemic means we will prioritise heritage projects that:

- promote inclusion and involve a wider range of people (a mandatory outcome)
- boost the local economy
- encourage skills development and job creation
- support wellbeing
- create better places to live, work and visit
- improve the resilience of organisations working in heritage

Projects must achieve **at least** our inclusion outcome. In addition to our outcomes, all projects must also consider long-term environmental sustainability.

Please thoroughly read our supplementary document, [Priorities for National Lottery Grants for Heritage](#). This is a formal part of the programme guidance until the end of the financial year 2022–2023.

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Other considerations:

- COVID-19 regulations: factor in assumptions on social distancing, without causing significant financial risks. Ensure you refer to national and local guidance.
- Risk: we will make a measured judgement on the potential risks your project might face due to COVID-19. Please carefully consider contingency costs within your application.
- Government sanctions: you must follow all legislation and regulations that apply to your project – this includes the current [economic sanctions against Russia](#).

## Things you need to know

- Requirements: your project must not start before we make a decision and it must focus on heritage in the UK.

- Deadlines for applications: there is no deadline so you can apply whenever you are ready.
- To consider: you can get advice on your project before you apply by submitting an optional Project Enquiry Form. [See the Project Enquiry Form's questions.](#)
- Assessment process: once we receive your application and all the correct supporting documents, we will assess your application and give you a decision in eight weeks. The assessment of our first few applications may take slightly longer than eight weeks as we transition back into our normal grant-giving cycle.
- Your contribution: for applications of £100,000 to £250,000 you must contribute at least 5% of your project costs.

## **Application help notes**

In our new 'Get funding for a heritage project' service, help notes and guidance can be found whilst completing the application form.

## **Further reading**

- [receiving a grant £10,000 to £100,000](#): tells you what you need to do if you are successful and has more detail about our requirements
- [receiving a grant £100,000 to £250,000](#)
- [standard terms of grant £10,000 to £100,000](#): outlines the terms of our grants.
- [standard terms of grant £100,000 to £250,000](#)
- [outcomes webpage](#): sets out the priority and other outcomes and what they look like
- [good practice guidance](#): advice on a range of topics to help you achieve a high quality project
- [Project Enquiry Form: £10,000 to £250,000](#): information about our optional project enquiry form, including its questions

**[When you are ready, apply on our application portal](#)**

## **Who can apply?**

Under this programme, we accept applications from:

£10,000–£100,000:

- applications from not-for-profit organisations, private owners of heritage and partnerships
- deadlines: there are no deadlines so you can apply whenever you are ready
- assessment process: once we receive your application and all the correct supporting documents, we will assess your application and give you a decision in eight weeks

£100,000–£250,000:

- applications from not-for-profit organisations and partnerships led by not-for-profit organisations
- deadlines: there are no deadlines so you can apply whenever you are ready
- assessment process: once we receive your application and all the correct supporting documents, we will assess your application and give you a decision in eight weeks
- your contribution: you must contribute at least 5% of your project costs

Here are some examples of the types of organisation we can fund:

- charities, trusts and charitable incorporated organisations
- community and voluntary groups
- community/parish councils
- community interest companies
- faith based or church organisations
- local authorities
- other public sector organisations
- private owners of heritage (for example, individuals and commercial organisations)

We will ask to see your constitution or governing document (see Supporting documents). You must have at least two people on your board or management committee who are not related by blood or marriage or living at the same address.

If you are a private owner or a private owner is involved in your project, we will assess whether public benefit outweighs any private gain so please consider this in your application.

## **Partnerships**

We encourage you to work with other people to develop and carry out your project. If you plan to work with another organisation to carry out a significant proportion of your project we advise you to formalise your relationship with a partnership agreement.

If your project partners will provide goods or services paid for through our grant, we will need to see your partnership agreement as part of your application (see Supporting documents).

If you are making a joint application, you will need to decide which organisation will be the lead applicant.

The lead applicant will fill in the application form and, if you are successful, receive the grant and report on progress. We usually expect the owner of the heritage to be the lead applicant.

If the owner of the heritage is not making the application, then we will ask them to sign up to the terms of grant.

We do not recommend a particular type or format of partnership agreement. It is up to the parties involved in partnerships to work out what is best for them.

## **What can we fund?**

We fund projects that connect people and communities to the national, regional and local heritage of the UK.

Heritage can mean different things to different people. It can be anything from the past that you value and want to pass on to future generations.

As a guide, this could include:

- people's memories and experiences (often recorded as 'oral history')
- cultural traditions (for example, stories, festivals, crafts, music and dance)
- nature (for example, habitats, species and geology)
- natural and designed landscapes such as parks and gardens
- community archaeology
- historic buildings, monuments and environments
- collections of objects, books or documents in museums, libraries or archives
- histories of people and communities or places and events
- the heritage of languages and dialects

- places and objects linked to our industrial, maritime and transport history

At this grant level, we can also fund activities to support organisations who want to build their capacity or achieve significant strategic change.

This could include developing new skills or knowledge, exploring new models of governance, leadership, business and income in order to improve the management of your heritage for the long term.

If you are applying for a larger grant we will consider the extent to which your proposed project helps protect our previous investment when we assess your application's value for money.

To find out more about this type of activity, please read our resilience guidance on [our website](#).

We will only fund projects that:

- are clearly focused on heritage – this can be national, regional or local heritage of the UK
- take into account the current COVID-19 pandemic and consider any potential risks or impacts this will have on project delivery: See [Priorities for National Lottery Grants for Heritage](#)
- address the mandatory Inclusion outcome and any other priority outcomes as applicable: See our updated [Outcomes webpage](#)
- address the mandatory requirement on environmental sustainability: See our updated [Outcomes webpage](#) for more information on this requirement
- have a clear plan with a defined start, middle and end
- have not already started

National Lottery Grants for Heritage are able to support a broad range of types of activity. We can cover a wide range of direct project costs. As an example, your heritage project could include:

- volunteer expenses
- training costs
- event costs (including room hire, refreshments and equipment)
- capital works
- repair and maintenance (revenue)
- new staff posts
- professional fees (for example, legal costs)
- paid training placements

- costs to improve access to your heritage
- activities to help you strengthen your organisation
- costs associated with taking on new responsibility for heritage (for example, feasibility studies)
- Full Cost Recovery
- digital outputs
- repair and conservation

This funding will support activity that will address our mandatory requirements of **inclusion** and **environmental sustainability**, and if relevant, other [priority outcomes](#).

We cannot cover the following:

- existing staff posts or organisational costs (unless calculated through Full Cost Recovery). If you are moving an existing member of staff into a post created by this project, or extending the hours of an existing member of staff, this can be covered in your project costs. The post will need a clear job description and specified hours
- statutory and/or legal responsibilities
- promoting the cause or beliefs of political or faith organisations
- recoverable VAT
- costs for any activity that has taken place before a grant is awarded

**National Lottery Grants for Heritage, up to the end of the 2022-2023 financial year, will take into consideration the COVID-19 crisis, but are not designed to cover emergency or rescue funding. We cannot cover the following:**

- anything that contravenes Governments' advice on Covid-19
- operational deficits and emergency costs
- loan repayments
- redundancy costs
- costs that are eligible to be covered by Government support, for example, furlough
- the remaining salary costs for staff on furlough not covered by the Government's Coronavirus Job Retention Scheme, or any additional salary costs above the furloughing cap.

**Welsh Language**

If your project is to **take place in Wales**, you must consider the Welsh language in all aspects of your work and tell us how you will promote and support the Welsh language and reflect the bilingual nature of Wales. You will need to demonstrate how you will offer bilingual provision in your project's budget and plan. Please include budget for translation under the 'Other' costs category within the application form project costs section.

If you have any questions, queries, or require assistance, please get in touch with [cymorthcymraeg@heritagefund.org.uk](mailto:cymorthcymraeg@heritagefund.org.uk).

## **Full cost recovery**

If you are an organisation in the voluntary sector, we can cover a proportion of your organisation's overheads. **Funding to cover a proportion of your organisations' running costs, alongside direct project costs, can be important for your sustainability. If your organisation is eligible we would encourage you to consider including full cost recovery in your budgets.** Costs should be proportionate to the time or resources used for your project. We can also cover a proportion of the cost of an existing member of staff, as long as they are not working exclusively on the funded project in a new post. We expect this contribution to be calculated using Full Cost Recovery.

By voluntary sector we mean organisations that are independent of government and whose governance, finance and resources have a voluntary focus. For example, a voluntary sector organisation might have a board of trustees, be funded by grants and donations, and rely upon volunteers to carry out their aims.

We cannot accept applications that include Full Cost Recovery from public sector organisations (for example, government-funded museums, local authorities or universities).

Recognised guidance on calculating the Full Cost Recovery amount that applies to your project is available from organisations such as [The National Lottery Community Fund](#). You will need to show us how you have calculated your costs, based on recent published accounts. You will then need to tell us on what basis you have allocated a share of the costs to the project you are asking us to fund, and we will assess whether this is fair and reasonable.

## **Private owners of heritage**



We have separate guidance if you are a private owner or a private owner is involved in your project. You must make it clear how the public benefit of your heritage project will outweigh any private gain. If you are a private owner of heritage, we will not fund:

- works that can reasonably be considered to be the statutory duty of the owner
- the purchase of buildings or any heritage assets
- construction of new buildings

As a private owner of heritage, you must demonstrate that the public benefit of your project outweighs any private gain.

If your project includes any capital work, you will need to show us that your project:

- will significantly increase public access and public engagement with heritage
- has clear public enthusiasm and support
- needs National Lottery investment

We usually expect the owner of the heritage to fill in the application form and, if you are successful, receive the grant and report on progress. If the owner of the heritage is not making the application, then we will ask them to sign up to the terms of grant.

## **Community grant schemes**

As part of your project, you can ask us to contribute towards a ring-fenced pot of money that you can use to fund other groups/organisations to deliver small discrete projects. We call these grants 'community grants'. These community grants will contribute to the overall aims of your project. Any grants like this must demonstrate good value for money, and public benefit should outweigh any private gain. You will manage the funding pot, develop an application process with a decision panel and monitor progress. The community grant scheme must also be publicised by you so it is widely known and open to all.

You can award grants to not-for-profit community groups or private owners of heritage (for example, owners of archives, land or buildings). The grants you award can be for both capital works and activities.

We recommend that the total community grant scheme pot does not exceed £200,000 in total.

You should limit any individual grant to:

- activity: £10,000
- capital: £25,000

Some projects may need to exceed the limit in order to conserve heritage that is key to the character of an area, for example, if you are restoring a building as part of a townscape scheme. If your project needs a larger community grants budget or bigger awards to individual third parties, you will need to tell us about this in your Expression of Interest form and justify this in your application.

If your project includes community grants to either organisations or individuals for capital works to the heritage they own, these grants must be governed by a ten-year future management and maintenance agreement.

Acquisitions of land, buildings or heritage items are not allowable under community grant schemes.

Any grants to third parties must demonstrate good value for money and public benefit should outweigh any private gain.

## **Before you apply**

### **All of the heritage projects that we support need to:**

- clearly focus on heritage – this can be national, regional or local heritage of the UK
- achieve one or more of the outcomes on this page, including the mandatory one
- address environmental sustainability
- promote the role of the National Lottery in making the project possible
- carry out evaluation

The information in this section will help you consider these points in the early stages of planning your application.

### **Project Enquiry Form**

Our application process is competitive and we cannot fund every good quality application that we receive. To help you develop your idea, we offer advice before

you apply, which we will not use when assessing your project.

When you have read this application guidance, you can tell us about your idea by submitting a project enquiry form online. We will then contact you within ten working days to let you know whether you meet our requirements and to offer you some advice to help you develop your project further.

[Find out more about the Project Enquiry Form](#), including what questions you will be asked.

## Guidance

We have lots of information on our website to help you manage and develop your project.

The following are essential reading for all projects:

- [Information on acknowledging your grant](#)
- [Evaluation guidance](#)
- [Environmental sustainability guidance](#)

If your project involves capital works, you should also read the following documents on our website:

- [Conservation planning guidance](#)
- [Building maintenance guidance](#)

## Outcomes

We describe the difference we want to make with our funding through a set of nine outcomes, which include our [six priority outcomes until the end of 2022-2023 financial year](#). Outcomes are changes, impacts or benefits that happen as a direct result of your project.

We will prioritise heritage projects that will: **boost the local economy, provide job creation and encourage skills development, support wellbeing and create better places to live, work and visit.**

We expect all projects to demonstrate that they are building **long-term environmental sustainability** and **inclusion** into their plans.

Remember, we will only fund projects that are clearly focused on heritage – this can be national, regional or local heritage of the UK.

## Priority Outcomes

- **A wider range of people will be involved in heritage** (Mandatory Outcome):
- Every project we fund must achieve our mandatory outcome as a minimum
- The funded organisation will be more resilient
- People will have greater wellbeing
- People will have developed skills
- The local area will be a better place to live, work or visit
- The local economy will be boosted

We would encourage you to focus on achieving one or more of our priority outcomes at this time. **For example**, if a project only delivered on the 'condition outcome' and the mandatory outcome – it would be much less likely to be supported than a project that met the mandatory outcome, plus another priority outcome.

### Our other three outcomes are:

- Heritage will be in better condition
- Heritage will be identified and better explained
- People will have learned about heritage, leading to change in ideas and actions

The number of outcomes you achieve will depend on what you want to deliver and should be proportionate to the size of grant you are requesting or the specific focus of your project. There is no obligation to name more than one, and we strongly encourage you not to claim more outcomes than you really think you can deliver.

You can find more information about our outcomes on the [Outcomes webpage](#) and [Good Practice Guidance](#).

**Do not** use the help icons embedded in the Outcomes Section of the online application form. You should use the application guidance and [Outcomes webpage](#) as the primary sources of up-to-date information on these.

### Environmental Sustainability requirement

**We expect the highest standards of [environmental sustainability](#) to be delivered by all the projects we fund.**

We want **all our projects** to do their very best to help mitigate against and adapt to the effects of our changing climate and to help nature recover. Whether our funding is conserving a nature reserve, a museum, a public park or a building, we will expect projects to take the opportunity to create positive benefits for nature by, for example, creating roosts for bats, including green roofs, providing ponds for natural drainage and increasing tree planting.

We want all **kinds of heritage projects** – large and small – to:

- limit any potential damage on the environment
- make a positive impact on the environment and particularly for nature

Of course, projects must ensure that any environmental measures do not have a negative impact on your heritage.

Including environmental sustainability within your projects right from the beginning will mean your project is likely be more resilient, financially sustainable and have multiple benefits for people and community.

The measures that you implement should be appropriate for the scale of your project. We provide guidance on [environmental sustainability](#) on our website.

You should also think about how you will evaluate your environmental sustainability measures and ensure that this is part of your project's evaluation strategy. We will ask you to identify and report on the steps that you are taking. Our environmental impact guidance provides some general advice, and you can also access practical support from the [Fit for the Future network](#).

## **Considering risk**

All projects will face threats and opportunities that you need to identify and manage. We want you to be realistic about the risks your project and organisation may face so that you are in a good position to manage and deliver the project successfully. We understand that the context you will be working in is very different during the current Covid-19 pandemic. When assessing your application, we will make a measured judgement on the potential risks to your project and current organisational risks – and we will look to see if you have identified these and told us how you will mitigate against them. You should also carefully consider inflation and contingency costs within your application.

The types of risk and problems you should consider are:

- financial: for example, a reduced contribution from another funding source
- organisational: for example, a shortage of people with the skills you need or staff needed to work on other projects
- economic: for example, an unexpected rise in the cost of materials
- technical: for example, discovering unexpected and wide-ranging damp
- social: for example, negative responses to consultation or a lack of interest from your target audience
- management: for example, a significant change in the project team
- legal: for example, changes in law that make the project impractical
- environmental: for example, difficulties in finding sources of timber from well managed forests

## **Promotion of your National Lottery grant**

You must commit to acknowledging your grant and promoting the National Lottery. You can find out more about our minimum requirements for acknowledgement on our website. As well as acknowledging your grant, we ask you to provide special access and/or offers for National Lottery players.

We encourage you to develop innovative and creative offers or promotions designed to thank National Lottery players for their support and to raise awareness of your funding. Examples of these, and other imaginative things that projects have done can be found on [our website](#).

## **Evaluation**

We recommend you build in evaluation from the beginning of your project. Our evidence shows that the more carefully projects budget for their evaluation, the higher the quality of the final report. We have recommended minimum spends on evaluation and you can find further guidance on this on [our website](#).

At the end of your project we will expect some evaluation feedback, in two parts:

- your own evaluation report, sent in before we pay the last 10% of your grant
- An evaluation questionnaire, within one year of completion. You can see the information we will want you to report in our [evaluation guidance on our website](#).

## **Your contribution**

Your contribution will depend on the amount of grant you are asking for.

### **For grants between £10,000 and £100,000**

At this grant level, we do not require a contribution from you but you should consider whether other funders, organisations or people might be able to support your project. This will help show us that other people and organisations are committed to your project. We will assess whether your project offers good value for money and we will consider your contribution.

### **For grants between £100,000 and £250,000**

At this grant level, we ask that you contribute at least 5% of your project costs. We describe this contribution as 'partnership funding' and it can be made up of cash, non-cash contributions, volunteer contributions or a combination of all of these.

#### **Cash contributions**

This might be from your organisation's own reserves, a donation from a benefactor, a grant from another funder or other fundraised support (for example, crowd funding).

#### **Non-cash contributions**

This includes anything you need for your project that you do not have to pay for (for example, room hire or equipment). We can only accept non-cash contributions if they are direct project costs that could have been part of your project budget.

#### **Volunteer contributions**

This is the time that volunteers give to support the delivery of your project. This could include administrative work, clearing a site or working as a steward at an event. You should not include costs for the time of people who will take part in your activities (for example, people who attend a workshop or go on a guided tour).

We use a standard rate of £20 per hour to calculate the value of your volunteer contributions.

## National Lottery funding

You can use funding from another National Lottery distributor to contribute towards your project as partnership funding. However, this can't count towards your minimum contribution of 5%, which must be made up of contributions from your own or other sources, not including the National Lottery.

## How we assess your application

### Assessment process

Once you send us your online application, we will check everything is in place and will be in touch to let you know when you can expect a decision. Unless we need to check anything with you, it is unlikely that you will hear from us again until we notify you of the decision.

As an organisation that gives out public funds, we carry out some checks on the information you provide to us when you apply (for example, we may check your history with us or carry out identity or fraud checks).

- Assessment time: eight weeks. The assessment of our first few applications may take slightly longer than eight weeks as we transition back into our normal grant-giving cycle. (Please note: we will not be able to assess your application until we receive all the required supporting information.)
- decision meeting: monthly panel meeting
- decision maker: Director of Area or Nation

When we assess your application, we will consider a range of factors including:

- whether your project is relevant to heritage in the UK
- the needs and opportunities your project will address
- how strongly your project will achieve our [priority outcomes until the end of 2022-2023 financial year](#)
- overall value for money
- potential risks to the project's success, especially in relation to impacts of the COVID-19 pandemic on project delivery
- how project outcomes will be sustained
- your approach to environmental sustainability



If your application is assessed as providing low value for money then it may be rejected earlier during the assessment period, and we will let you know this at the time.

## **Application process**

You should answer all of the questions in the application form.

**Please choose when to make your application carefully.** Don't rush to submit an application before you are ready, and make sure you have thought through the best way to shape your activity and how you are going to manage it. We know that we will receive a high number of applications, and we will need to prioritise where our investment can make a significant difference.

## **Information we need**

You will need to know enough detail about your project to be able to provide us with realistic costs.

The section below outlines the level of information we need to be able to make a judgement about the outcomes that your project will achieve.

## **Level of information**

### **Activities**

**Information about the group or groups of people you will work with:** include estimates of numbers. Show us that you have been in contact with these groups and that they are keen to take part.

**Information about the activities your project will deliver:** in some cases you will be able to give us exact details of activities you will do to engage people or communities (or both). As a minimum, provide us with a detailed plan for Year 1 and/or Year 2 and describe the process you will go through to develop your project in Years 2 and/or 3. You can include costs relating to this development work.

**Information about which partners you will work with (if any):** if you will be developing partnerships during your project, show us that you have made initial contact and that they are willing to be involved.

### **Capital**

**Details of the capital work you intend to do**, providing certainty that you will have sufficient resources to develop them further, and sufficient contingency budget.

**Some visual aids showing what difference the capital work will make**, such as photographs of how the heritage looks now, and images of how it will look at the end of your project.

**Depending on the complexity of your project**, we may ask you to submit: a survey of the physical heritage (for example a condition survey of a historic building or monument), or a tree survey; evidence showing that the work you plan to do follows good practice (for example a letter of support from your Conservation Officer or the appropriate statutory body).

## **Supporting documents**

Supporting documents will need to be updated to align with new IMS forms and any changes.

You will need to submit the relevant supporting documents, which are outlined in this section.

Some of these documents will not apply to your project and the additional information will help you decide if they are relevant.

Everything we need to assess your application is in the application form and the following supporting documents. Please do not submit any extra documents, as we will not use them in assessment.

### **Governing document (for example, constitution)**

We do not need to see your governing document if:

- you are a public organisation (for example, a local authority)
- you are a private owner of heritage

Your governing document should include the following:

- the name and aims of your organisation
- a statement that prevents your organisation from distributing income or property to its members during its lifetime

- a statement which confirms that, if your organisation is wound up or dissolves, the organisation's assets will be distributed to another charitable or not-for-profit organisation and not to the organisation's members
- the date when it was adopted and the signature of your chairperson (or other authorised person)

**We are unable to accept your application if your constitution does not include the above.**

Please make sure your project falls within the aims of your organisation.

The Charity Commission provides [guidance](#) on creating a governing document.

## **Accounts**

Include your most recent audited or accountant verified accounts.

If you are a newly formed organisation and do not have a set of audited accounts, please submit your last three bank statements or a letter from your bank confirming that you have opened an account.

We do not need your accounts if you are a public organisation (for example, a local authority).

## **Project plan (mandatory)**

All applicants must submit a project plan.

We recommend you use the template provided on [our website](#).

## **Partnership agreements (if applicable)**

If you plan to work with another organisation to carry out your project, it is good practice to have a partnership agreement. This document should outline both partner's roles and responsibilities and should be signed by all parties. This agreement should reflect the needs of your project and you may need to seek independent advice.

You only need to provide your partnership agreement if your partner organisation will receive grant payments to deliver part of your project.

We do not recommend a particular type or format of partnership agreement. It is up to the parties involved in partnerships to work out what is best for them.

## **Condition survey**

If your project involves the conservation of heritage, you must provide a condition survey or another appropriate document (for example, a draft or outline conservation plan). This document should tell us the current condition of the heritage and the works that are needed to return the heritage to a good condition.

For example, if you plan to conserve a local war memorial, you will need to know the current condition and what repair works are needed. The survey or report should also indicate the relative priority of the suggested works so you know which are the most critical and need to be tackled most urgently.

## **Job descriptions (if applicable)**

If you plan to recruit a new member of staff to help deliver your project, including an apprentice, please submit a job description for that post.

Please note: You must openly advertise all new staff posts, unless you are extending the hours of an existing member of staff or are moving an existing member of staff into a project post.

## **Briefs for internally or externally commissioned work (if applicable)**

Briefs describe any work you plan to commission during your project. If you are commissioning work (for example, from an artist or an architect) then you should submit a brief.

The brief should describe the works, how long they will take, how much they will cost and the skills required. You can find a template brief on our website.

## **Images (no more than six, if applicable)**

If relevant, please provide images that help illustrate your project.

For example, if your project focus is a local photography archive, you may wish to provide a few images of the collection.

If you are seeking to improve a landscape or conservation area you should include a map of the area that shows the location of all the projects you will deliver.

## **Letters of support (no more than six, if applicable)**

Letters of support are a good way of showing us that you have spoken to other people and that they are interested and committed to your project.

Please submit letters of support from the people involved in your project, rather than general supportive statements. For example, if you are planning to deliver workshops at local youth clubs then a letter of support will show us that they want to take part.

If possible, letters should be on headed paper or signed.

## **Calculation of full cost recovery (if applicable)**

If you are including full cost recovery in your project budget, you must include a document that outlines your calculation.

## **Ownership documents (if applicable)**

If you are planning any capital works, or intending to purchase land/buildings/collections, please provide copies of any relevant ownership documents (for example, Land Registry ownership documents, or a lease or heads of terms).

## **What happens after you apply?**

### **If your application is successful**

You must wait to receive permission to start from us before beginning your project. You will need to submit a form online and provide us with:

- your bank account details (mandatory)
- proof of ownership/leasehold requirements (for all capital projects, if any changes have taken place since application)
- details of statutory permissions and/ or licences required and obtained (if applicable)
- confirmation of partnership funding (if applicable)
- project cashflow forecast (only applicable for projects that are undertaking capital works or for grants over £100,000)
- project management structure and methods for choosing consultants, contractors and suppliers (for grants over £100,000)

- project timetable or work programme (for grants over £100,000)

Your organisation will need a bank account. The name on this bank account must exactly match the name of the organisation making the application.

If your grant request is below £100,000, we will pay your grant in three instalments:

1. we will give you 50% of the grant up-front
2. once you have spent the first half of your total eligible project costs, we will give the next 40%
3. we will pay the final 10% of your grant when you have finished your project and sent us a final completion report and project evaluation

If your grant request is above £100,000, we will pay instalments of our grant after the work that you are asking us to pay for has been done. We will also retain the last 10% of your grant until we are satisfied that the project is complete and you have submitted your completion report and evaluation.

### **Payment percentage**

We will fund a percentage of your total project costs. If you or another organisation is contributing cash to the project, then we will be granting you less than 100% of the project costs. We describe this percentage as the 'payment percentage.'

Here's an example where the payment percentage is 90%:

- total project costs: £50,000
- your cash contribution: £5,000
- your grant £45,000 Payment percentage: 90%

We will pay you the 'payment percentage' of the total amount you spend on the project.

- If you spend less on the project than you were expecting, then we will not pay you the full grant
- If you spend more on the project than you were expecting, then we will be unable to give you more than the grant we awarded you

Here's an example, where the total amount spent on the project was less than expected:

- total project costs in the application form: £50,000
- payment percentage: 90%
- total amount spent on the project: £45,000
- total amount we pay £40,500: (90% of £45,000)

## Reporting

For grants of under £100,000 we will expect you to report on the progress of your project at least once during the project life and once at completion alongside your evaluation report.

If you are requesting a grant of over £100,000 we will expect you to report on the progress of your project at least each time you request a payment in arrears. Progress reporting will be per quarter at a minimum, including on completion with the evaluation report.

We will expect evidence of delivery and expenditure, such as photos, reports, invoices and receipts. More detailed information on our monitoring process can be found in the [Receiving a grant guidance: £10,000 to £100,000](#) and [Receiving a grant guidance: £100,000 to £250,000](#) documents on our website.

## Terms of grant

We will ask you to sign up to our Standard terms of grant, which you can find on our website:

- [standard terms of grant £10,000 to £100,000](#)
- [standard terms of grant £100,000 to £250,000](#)

The duration of terms of grant depends on the amount you are awarded, the nature of the organisation applying and the type of project.

**For grants from £10,000 to £100,000**, the terms of grant will last from the date of Permission to Start until:

- **activity:** the date the project finishes (known as the Project Completion Date)
- **capital:** five years after the Project Completion Date if you are a not-for-profit organisation or 10 years after the Project Completion Date if you are a private owner of heritage
- **digital:** five years after the Project Completion Date if you are a not-for-profit organisation or 10 years after the project finishes if you are a private

owner of heritage

- **acquisition:** If your project includes buying a heritage item, land or building, the terms of the grant will last indefinitely. If you wish to dispose of what you have bought in future, you must ask for our permission and we may claim back all or part of our grant.

**For grants from £100,000 to £250,000**, the terms of grant will last from the date of Permission to Start until:

- **activity:** the Project Completion Date
- **capital:** 20 years after the Project Completion Date
- **digital:** 20 years after the Project Completion Date
- **acquisition:** If your project includes buying a heritage item, land or building, the terms of the grant will last indefinitely. If you wish to dispose of what you have bought in future, you must ask for our permission and we may claim back all or part of our grant.

## **If your application is unsuccessful**

The assessment process is competitive and we cannot fund all of the good quality applications that we receive. If your application is unsuccessful, we may suggest that you make another application but you must talk to us about this before reapplying.

## **Legal and policy requirements**

### **Ownership**

We expect you to own any property (land, buildings, heritage items or intellectual property) on which you spend the grant.

### **Land and buildings**

For projects that include works on land and buildings, you must own the freehold or have a lease that meets our requirements:

#### **For grants from £10,000 to £100,000:**

- **not-for-profit organisation:** your lease must have five years left to run after the Project Completion Date



- **private owner:** your lease must have at least ten years left to run after the Project Completion Date
- **land/buildings in third party ownership:** we will either require the owner to sign up to our terms and conditions directly with us or require you to enter into a legally binding agreement with the owner

**For grants from £100,000 to £250,000** you must own the freehold or have a lease which meets the following requirements:

- your lease must have 20 years left to run after the Project Completion Date
- if a third party owns the land (which may include a project partner) we will either require them to sign up to our terms and conditions directly with us or require you to enter into a legally binding agreement with the owner

All leases must meet the following requirements:

- we do not accept leases with break clauses (these give one or more parties to the lease the right to end the lease in certain circumstances)
- we do not accept leases with forfeiture on insolvency clauses (these give the landlord the right to end the lease if the tenant becomes insolvent)
- you must be able to sell on, sublet the whole or part, and mortgage your lease but if we award you a grant, you must first have our permission to do any of these

If your project involves buying land or buildings, you must buy them freehold or with a lease with at least 99 years left to run.

## **Heritage items**

For projects involving buying a heritage item or carrying out conservation work to a heritage item (for example, a steam train or a painting), you must buy or own the item outright.

Private individuals or for profit organisations cannot use our funding to acquire heritage items.

If you are borrowing item/s as part of the project (for example, for an exhibition) and are asked to contribute towards the costs of conservation then we may accept this cost if it forms a small part of your project.

The owners of the item/s may need to be tied into your partnership agreement, or tied into the Standard terms of grant, if a grant is awarded. Please include this in

your [project enquiry form](#), if you think this will apply to your project.

If you are planning a capital building project with the purpose of storing or displaying a collection that you do not own we will require the owner of the collection to be tied into the Standard terms of grant (and any additional conditions set out in the grant notification letter) if a grant is awarded. Please include this in your [project enquiry form](#), if you think this will apply to your project.

## Digital outputs

We have specific requirements, which are set out in our Standard terms of grant, for 'digital outputs' produced as part of any project.

We are using the term 'digital output' to cover anything you create in your project in a digital format that is designed to give access to heritage and/or to help people engage with, and learn about, heritage.

For example, this includes photographs, text, software, web and app content, databases, 3D models, sound and video recordings. Items created in the management of the project, for example emails between team members and records of meetings, are not included in the requirement.

**If you receive a grant between £10,000 and £250,000**, all digital outputs must be:

- 'available' (the outputs are freely available online and you can give access to the digital files on demand) for five years from the project completion date
- 'usable' (the outputs function as intended and are kept up-to-date)
- 'open' (digital outputs are licensed for use by others under the Creative Commons 'Attribution 4.0 International (CC-BY4.0) licence, with the exception of code and metadata, which should be release under a Public Domain Dedication unless we have agreed otherwise

These obligations extend to **10 years** if the lead applicant is a private owner of heritage **and** has applied for over £100,000 as the Grant Contract will last for **10 years** from the Project Completion Date.

We expect:

- digital outputs (including websites) to be accessible. If you are awarded over £100,000 we also expect you to meet at least W3C Single A accessibility

standard.

- you to use open data and tools where possible
- you to contribute digital outputs to appropriate heritage collections and open knowledge projects

Guidance for digital projects can be found on [our website](#)

## **Procurement and staff posts**

You must follow our procurement guidelines. As an overview, projects with any goods, works or services worth more than £9,999 (excluding VAT), must get at least three competitive tenders/quotes. For all goods, works and services worth more than £50,000 (excluding VAT), you must provide proof of competitive tendering procedures.

Your proof should be a report on the tenders you have received, together with your decision on which to accept. You must give full reasons if you do not select the lowest tender. Depending on the nature of your project you may be required to comply with UK Procurement Legislation.

If a project partner is providing goods or services paid for through the grant, then you need to tell us why they have been chosen and why an open tender process is not appropriate. We will consider whether this is the best way to carry out your project and expect you to show value for money and meet any relevant legal requirements.

Partners are not subcontractors. They will take on an active role in the project and will be involved in the project. They will help to report on progress, attend regular partnership meetings and support project evaluation. We will ask to see your partnership agreement as a supporting document with your application.

You must also openly advertise all project staff posts, with the following exceptions:

- you have a suitably qualified member of staff on your payroll that you are moving into a project post. (You still need to provide a job description for this post)
- you are extending the hours of a suitably qualified member of staff on your payroll so that they can work on the project. In this case we will fund the cost of the additional hours spent on the project and you will need to tell us about their role

- if you are a voluntary organisation and are including a proportion of a staff member's time in your full cost recovery calculation

We are committed to ensuring that the heritage sector is inclusive and sustainable. You must use the Living Wage rate (and London Living Wage where applicable) for all project staff. Please show evidence of budgeting for Living Wage rates in your staff costs and budgets.

Procedures to recruit consultants and contractors must be fair and open and keep to the relevant equality legislation.

If you are unsure about your obligations, we advise you to take professional or legal advice. If you have already procured goods, works or services for the project that are worth more than £9,999, (excluding VAT), you will need to tell us how you did it. We cannot pay your grant if you have not followed the correct procedure.

## **State aid and subsidy control**

At the point of publication of this Guidance for Applicants, public funding for organisations is no longer governed by the European Commission's 'State aid' rules as set out in Article 107-109 of the Treaty of the Functioning of the European Union and associated regulations and guidelines. Instead all grant decisions made after 11pm on the 31st December 2020 are subject to the new UK subsidy control regime, the principles of which are set out in Chapter 3 (Subsidies) of Title XI (Level Playing Field) of the Trade and Cooperation Agreement.

There is expected to be further guidance, a consultation and possibly new legislation in this area to build upon those principles. You will be expected to comply with the principles of the subsidy control regime and to satisfy any future requirements. Agreements that have been entered into will be reviewed and varied accordingly. We reserve the right to impose further requirements and additional conditions in relation to this matter.

It is an applicant's responsibility to check whether State aid or subsidy control clearance is required. Applicants should seek independent legal advice if they are unsure whether a project will require clearance.

## **Additional information**

The evaluation budgeting and reporting section below is relevant for all projects.

You should also read the following sections if they are relevant for your project:

- buying land and buildings
- buying heritage items and collections
- projects involving land, habitats and species
- third party ownership requirements including for community grants

## **Evaluation budgeting and reporting**

We recommend allowing a budget for evaluation of between 2% and 7% of your total projects costs. Your budgets for evaluation should not be less than the 2%. For example, if a project applies for funding of £190,000 we would expect a minimum of £3,800 (2%) to be allocated to evaluation. If your evaluation budget is lower, you will need to tell us why in the description for this project cost.

You will be asked to write an evaluation of your project and attach it to your final completion report. We aim to fund projects that create positive and lasting change for people and communities.

Asking our projects to submit evaluation reports enables us to demonstrate that difference and to know whether a project has spent the grant appropriately and desired outcomes have been achieved. We report on these achievements through continuous programme evaluation, which relies on information from project level self-evaluations.

We will also send you an evaluation questionnaire within one year of the completion of your project. It will ask for information on the numbers of activities undertaken, the number of visitors you have received (where appropriate), the amount of training and volunteer involvement in your project, and any extra staff you have taken on. For all of these 'outputs' of your project, we will be interested in the types of people that have benefited as well as the overall numbers.

The quantitative information that we expect you to collect for your evaluation questionnaire is outlined below. For visitors, volunteers, trainees and staff, we also ask for demographic details by gender, age, ethnicity, sexual orientation, disability and social class.

On their own, these numbers will not tell the whole story of what your project is about, and your evaluation report will need to tell us about the quality of your

project as well.

## Activities

We will want you to tell us how many activities were carried out using your grant. The activities we list are:

- **open days:** Days when you open a heritage site, collection or feature to the public, which cannot normally be visited. It can also include days when you do not charge for admission at attractions that normally charge an entrance fee.
- **festivals:** programmes of events or activities for the public, lasting one day or more, with a clearly defined theme or focus
- **temporary exhibitions and displays:** exhibitions or displays that are not a permanent feature of your site and last for less than 12 months
- **guided tours or walks:** these may be guided by either your staff or people outside your organisation, but must be based on your heritage site or feature
- **visits from schools and colleges:** these can include visits by primary, secondary and higher levels of education
- **outreach sessions in schools and colleges:** visits made by your staff or the volunteers working with you on the project
- **other on-site activities:** activities that take place at your site that you feel are not included under the other headings
- **other outreach or off-site activities:** activities that have taken place away from your site that you feel you have not been able to tell us about

## Visits

Data about the number of people who visited your attraction the year before your project started and the year after it completed. If the attraction or facility we fund is part of a bigger attraction (for example, if we funded a gallery within a larger museum), we only want you to give us visit numbers for the part of the attraction or facility that we give money towards.

## Volunteers

The number of volunteers involved with the funded project and the number of volunteer hours they contributed.

## Training

The number of people trained through the project, under a set of skills headings covering conservation, audience engagement and management.

Training includes any structured programme of on-the-job training, skill sharing, work-based learning and work experience. We ask you to distinguish between training for your staff and training for volunteers.

### **New staff**

The number of new staff posts created to carry out your project, and the number of these still being maintained after the end of the project. For more information on evaluation, read the guidance on [our website](#)

### **Capital works**

Capital works are defined as works that create or improve an asset.

By capital work we mean digitisation of collections, a conservation programme for objects and collections, repair and conservation works or new build, refurbishment and redisplay of galleries and/or buildings.

For example, conservation of a heathland, repairs to a memorial and digitisation of a photographic archive would all be considered as capital works.

Reusing and adapting built heritage has an important role to play in reducing carbon emissions and tackling climate change, and whilst new build might be necessary and appropriate, in some cases we will prioritise re-use and sensitive adaptation of existing buildings.

For landscape and nature capital projects we will prioritise those that focus on one or all of the following themes; support nature's recovery, deliver nature-based solutions to climate change and/or help people reconnect with nature.

### **Buying land and buildings**

We can fund projects that involve the purchase of land and/or buildings that are important to our heritage, and are at or below market value. The principal reasons for purchase must be a benefit for long-term management of heritage and for public access.

If you already manage the land and/or buildings that you want to buy, you will need to show us what extra benefits the purchase will bring.

You will need to show that all options for entering into an appropriate management agreement with the freehold owner have been explored before seeking a grant for purchase.

We can help you to buy land and/or buildings if you demonstrate in your application form that:

- any risks to their preservation will be reduced by your purchase
- the price accurately reflects the condition and value
- the purchase will contribute to more people engaging with the heritage
- you have adequate plans for management and maintenance over a period of at least 10 years after project completion
- you can demonstrate their significance to the heritage in a local, regional or national sense

We will not support purchases that we think are above market value. If we award you a grant, we may require a charge on the land and/or buildings. We can fund all associated purchase costs such as agent's fees, saleroom fees and taxes. Please ensure these are reflected in your cost table.

If your project includes buying a heritage item, land or building, the terms of the grant will last indefinitely. If you wish to dispose of what you have bought in future, you can ask for our permission. We may claim back our grant.

### **The information we need about the purchase**

With your application you will need to provide:

- a location plan to scale, clearly identifying the extent of the land or building to be purchased and any relevant access to the land and building
- One independent valuation. This should include a detailed explanation of how the assessment of the market value was reached. We welcome valuations by the District Valuer. We may also arrange for our own valuation. We will normally be prepared to support a purchase at a figure up to 10% above the top of any range in an accepted valuation
- evidence that the current owners are the owners (have legal title) and have the right to both sell the land and/or building and transfer the title to the new owner; and evidence of any legal covenants, or rights (such as fishing, shooting, mineral, drainage), or long- or short-term tenancies, or rights of way or access, or any other interests which are attached to the land or building



## **Buying heritage items and collections**

We can fund projects that involve the purchase of heritage items or collections that are important to our heritage and contribute to achieving our outcomes.

We will only help to buy items or collections if you demonstrate in your application form that:

- risks to their long-term future will be reduced if you buy them
- the price accurately reflects their condition and value
- you have a collecting policy and the purchase is in line with your policy
- the items will be accessible to the public once purchased
- you can show that you have adequate plans for their long-term care and maintenance

We will fund buying works of art, archives, objects and other collections that are important to the heritage and which were created more than 10 years ago. We will also fund buying more recent items of heritage importance, but only if they are part of a larger collection, which is more than 10 years old.

If your project includes buying a heritage item, land or building, the terms of the grant will last indefinitely. We may require a charge on the item(s). If you wish to dispose of what you have bought in future, you can ask for our permission, and we may claim back our grant. If you already have the item(s) on loan to your organisation, you will need to show us what extra benefits buying them will bring.

There is unlikely to be sufficient public benefit in the acquisition of a heritage item by one publicly funded collection from another for us to consider funding the purchase. Unless, however, the acquisition directly relates to saving collections at risk. In that circumstance, the collection, or part of that collection, should be at risk of loss from the public domain due to the organisational failure of the current owner.

We will not support purchases that we think are above market value.

We will not give priority to a purchase simply because of an export stop. An export stop gives organisations a chance to raise money needed to buy an item or collection that is intended for export. The export stop defers the export licence for a specified period in order that an offer may be made from within the UK.

If you intend to apply to us you should contact your local office as soon as possible, and within the first deferral period.

If you need an urgent decision from us, you must contact your local office to discuss this before you apply. We can only consider offering fast-track decisions for buying heritage items or collections if the deadline means we cannot assess your application within our usual timetable.

Our staff will discuss with you the implications of our fast-track procedure for your application. If you have a short amount of time to buy the item(s), we ask you to provide us with details of how this object will be integrated into your existing learning activities and public programmes.

We will expect activities and programmes to be appropriate for the object you are acquiring.

## **Costs**

The eligible costs associated with a purchase include:

- the purchase price itself
- fees incurred by your organisation as the buyer including valuations; agent's fees; and the buyer's premium for purchases at auction
- unreclaimable VAT

Ineligible costs include:

- seller's fees (for example, legal and agents' fees)

You should also include the costs of all the other activities you will do in your project once you have bought the item(s). The costs of your activities must be in proportion to the cost of the item(s).

## **The information we need about the purchase**

You will need to provide:

- Information about the history and significance of the item(s).
- A statement about why you are the right organisation to own the item(s). This should be supported by what you say in your policies, such as your acquisition or collections-management policy.
- Evidence that you will become the full owner of the item(s) or a good case for well-planned joint ownership.
- Documentary proof of the provenance (origin) of the item(s). Examples of proof include sale documents, legal documents, export documents, written

statement by the current owner, history of the item(s).

- Evidence that the current owners are the owners (have legal title) and have the right to both sell the item(s) and transfer the title to the new owner.
- An independent valuation on an open-market basis for the item(s) you wish to buy; this should include the valuer's reasoning to support the valuation, not just a statement of the value; you do not need to commission a valuation for an item (or items) which is the subject of an export stop or part of the Treasure Valuation Process.
- Evidence that you have followed current guidance on portable antiquities, illegal trade, and items and collections that could be sensitive. You should provide a statement outlining your research into the relevant laws and guidelines on ethical acquisitions.
- A description of how you will manage the item(s) and keep it secure, both at the time you buy it and in the future.
- A conservator's report saying whether the item(s) have conservation needs and, if so, how you will meet those needs. The report should include evidence that your organisation has the right environmental conditions to house the purchase.

## **Projects involving land, habitats and species**

### **Biological-recording projects**

Any species observations must comply with the standards for data quality and accessibility as set out by the National Biodiversity Network (NBN) on the [NBN Atlas](#). These observations must be made available to the public on an open licence at capture resolution, subject to sensitive species restrictions.

There are several ways of achieving this. NBN Atlas Data Partners may prefer to supply datasets directly to the NBN Atlas. Alternative options include through online recording tool [iRecord](#) or they can be shared with your local or regional [environmental record centre](#) for onward transmission to the [NBN Atlas](#). Please ensure if submission to the NBN Atlas is via a third party they are aware of the requirements to submit this data at capture resolution on an open licence.

If you are unsure how best to proceed, please contact the NBN directly to discuss the most appropriate data supply route. You must also meet our digital requirements.

### **Working on private land**

Many priority habitats and species occur on land that is owned by private individuals or for-profit organisations.

We do accept applications for landscape and nature projects that are on both public and privately owned land, provided that public benefit outweighs any private gain for the individual land owner(s) where they are private individuals or for-profit organisations.

The lead applicant for the project must however be a not-for-profit organisation or a public body if your grant request is for £100,000 or more.

In order to include private land your overall project must meet all four criteria below:

- have a core of good-quality priority habitat or support a significant population of priority species from which to extend out from
- enhance and/or expand the extent and quality of habitat that will help to meet UKBAP habitat and species targets
- contribute to long-term sustainable management of the area
- demonstrate a strategic approach to the conservation of a priority habitat or species

Even when working on private land we will expect some degree of public access to be provided. We also accept the principle of zoned levels of physical access within your project area and that physical access may not always be appropriate or desirable for habitat conservation reasons.

There should already be public access to the land that you own, and you may also have some infrastructure, for example paths or hides, that can help to accommodate increased public access.

## **Third party ownership requirements**

### **For landscape and nature projects**

Where the land (which may include different plots of land over a wide area) that is the subject of grant funding, is owned by a third party or multiple third parties (including private owners), legal agreements should be put in place between each land owner and the grantee.

There is no prescribed form of agreement but we have specific requirements which should be included in any third party land owner agreements. At a

minimum, the land owner agreements should include the following:

Details of the parties:

- confirmation as to how the land is held (freehold or leasehold)
- a description of the property (including plans)
- covenants on the part of the land owner to maintain the land and provide public access in accordance with the terms of the grant (as applicable)
- a provision that any onward disposal should be subject to the third party agreement
- That the agreement will last from the start of the work on the third party land until 10 years following Project Completion

You will need to provide us with copies of the landowner agreements to ensure compliance with these requirements. The landowner agreements will need to be completed and in place before any grant monies are released for work on each plot of land owned by a third party.

**For capital works to built heritage: (for example, historic buildings, ornamental gardens)**

If your project involves substantial work to a heritage building that is owned by a third party we will usually expect the owner to become a joint grantee or to grant you a lease which meets our requirements. In some situations, rather than make the owner a joint grantee, we may ask them to sign a side letter agreeing to comply with the terms of grant insofar as they relate to matters within their control.

**Community grants**

You may wish to make payments to third party owners (including private owners) for activities and capital works that contribute to achieving outcomes for heritage, people or communities (we call these community grants). If you are the lead applicant, you are responsible for ensuring that the specific project outcomes are delivered by third party landowners (including private owners) and that the terms of grant are complied with, including repayment of the grant if necessary.

This should be formalised through third-party agreements that define the outcomes to be delivered on third party land and secure the management and maintenance of capital works from the start of the work on the project until 10 years after the project's completion. This should be a legal agreement between

you and the community grant recipient.

For examples of the sort of terms and conditions you may wish to include in your contractual agreements see the Community Grants guidance on our website.

## **Legal costs**

You may include the costs of adapting and setting up any third-party agreements, including the cost of taking legal advice, as part of the costs in your application.

## **What next?**

Use this handy checklist to make sure you are ready to apply:

- I have read this guidance document
- I have read the terms and conditions of this grant programme
- I have drawn up a project budget and checked my costings
- I have planned how to evaluate my project
- I have filled in the project plan (template available on our website)
- I have prepared all the mandatory supporting documents
- I can meet the ownership requirement for the grant funded property (if applicable)
- I have any permissions or licences I need (for example, a bat licence or listed building consent)

**[When you are ready, apply on our application portal](#)**

## **Contact us**

You can find more information about contacting us on [our website](#).

If you want to find out about our complaints process, please visit [our Customer service page](#).

## **Changes to this guidance**

We will regularly review this guidance and respond to user feedback. We reserve the right to make changes as required. We will communicate any changes as quickly as possible via this webpage.