



# Application Guidance

National Lottery  
Grants for Heritage  
**£10,000–£250,000**

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# Introduction

Using money raised by the National Lottery, the National Lottery Heritage Fund inspires, leads and resources the UK's heritage to create positive and lasting change for people and communities, now and for the future.

National Lottery Grants for Heritage is our open programme for all types of heritage project in the UK.

**This guidance is for applications for grants from £10,000 to £100,000 and £100,000 to £250,000. If you are requesting over £100,000, the assessment process is longer and more in-depth.**

This guidance will tell you more about the programme and the types of project we can fund. You will also find the following resources useful when making your application:

- **Application form and help notes:**  
This document includes the application questions so you can see what we will ask you before you begin your application. It includes some help notes to help you answer the questions (these are included in the application form when you click this icon: )
- **Receiving a grant:**  
This document tells you what you need to do if you are successful and has more detail about our requirements.
- **Standard terms of grant:**  
This document outlines the terms of our grants.
- **Good practice guidance:**  
A link to documents on a range of topics to help you achieve a high quality project.



This icon is shown next to links to further information available online

# About the programme

## Programme Name

National Lottery Grants for Heritage

## Grants from

£10,000 to £250,000

## Requirements

Your project must not start before we make a decision and it must focus on heritage in the UK

## Project length

Up to five years

## £10,000–£100,000

### Applications from

not-for-profit organisations, private owners of heritage and partnerships

### Deadlines

There are no deadlines so you can apply whenever you are ready

### Assessment process

We will assess your application and give you a decision in eight weeks

## £100,000–£250,000

### Applications from

not-for-profit organisations and partnerships led by not-for-profit organisations

### Deadlines

Deadlines are quarterly and can be found on our [website](#) 

### Assessment process

We will assess your application in 12 weeks and following assessment, it will be assigned to a quarterly committee meeting

### Your contribution

You must contribute at least 5% of your project costs

**Your project must not start before a decision is made and it must focus on heritage in the UK**

# Application checklist

Use this handy checklist to make sure you are ready to apply.



- I have taken the **online self-assessment quiz**
- I have read this guidance document
- I have read the **terms and conditions** of this grant programme
- I have drawn up a project budget and checked my costings
- I have planned how to **evaluate my project**
- I have filled in the project plan (template available on our **website** [↗](#))
- I have attached all the mandatory **supporting documents** [↗](#)

## If applicable

- I can meet the ownership requirement for the grant funded property
- I have any permissions or licences I need (for example, a bat licence or listed building consent)

# Who can apply?

## Under this programme, we accept applications from:

- not-for-profit organisations
- private owners of heritage (who can apply for up to £100,000)
- partnerships

## Here are some examples of the types of organisation we can fund:

- charities, trusts and charitable incorporated organisations
- community and voluntary groups
- community/parish councils
- community interest companies
- faith based or church organisations
- local authorities
- other public sector organisations
- private owners of heritage (for example, individuals and commercial organisations)

**If you are making a joint application, you will need to decide which organisation will be the lead applicant.**

We will ask to see your constitution or governing document (see Supporting documents on p.15). You must have at least two people on your board or management committee who are not related by blood or marriage or living at the same address.

If you are a private owner or a private owner is involved in your project, we will assess whether public benefit outweighs any private gain so please consider this in your application.

## Partnerships

We encourage you to work with other people to develop and carry out your project. If you plan to work with another organisation to carry out a significant proportion of your project we advise you to formalise your relationship with a partnership agreement.

If your project partners will provide goods or services paid for through our grant, we will need to see your partnership agreement as part of your application (see supporting documents on p.15 and procurement guidance on p.20).

If you are making a joint application, you will need to decide which organisation will be the lead applicant.

The lead applicant will fill in the application form and, if you are successful, receive the grant and report on progress. We usually expect the owner of the heritage to be the lead applicant.

If the owner of the heritage is not making the application then we will ask them to sign up to the terms of grant.

# What can we fund?

**We fund projects that connect people and communities to the national, regional and local heritage of the UK.**

**Heritage can mean different things to different people. It can be anything from the past that you value and want to pass on to future generations.**

**As a guide, this could include:**

- people's memories and experiences (often recorded as 'oral history')
- cultural traditions (for example, stories, festivals, crafts, music and dance)
- nature (for example, habitats, species and geology)
- natural and designed landscapes such as parks and gardens
- community archaeology
- historic buildings, monuments and environments
- collections of objects, books or documents in museums, libraries or archives
- histories of people and communities or places and events
- the heritage of languages and dialects
- places and objects linked to our industrial, maritime and transport history

At this grant level, we can also fund activities to support organisations who want to build their capacity or achieve significant strategic change.

This could include developing new skills or knowledge, exploring new models of governance, leadership, business and income in order to improve the management of your heritage for the long term.

If you are applying for a larger grant we will consider the extent to which your proposed project helps protect our previous investment when we assess your application's value for money.

To find out more about this type of activity, please read our resilience guidance on our website.

**We will only fund projects that:**

- have a clear plan with a defined start, middle and end
- have not already started
- and will last up to five years

### We can cover a wide range of direct project costs. As an example, your project could include:

- volunteer expenses
- training costs
- event costs (including room hire, refreshments and equipment)
- capital works
- purchase price of heritage items (including land, buildings, and collections)
- new staff posts
- professional fees (for example, legal costs)
- costs to improve access to your heritage
- activities to help you strengthen your organisation

### We cannot cover the following:

- existing staff posts or organisational costs (unless calculated through Full Cost Recovery)
- statutory and/or legal responsibilities
- promoting the cause or beliefs of political or faith organisations
- recoverable VAT
- costs for any activity that has taken place before a grant is awarded

**If your project will benefit people in Wales, you must use the Welsh language when you carry out your project. You can find guidance on using the Welsh language in your project on our [website](#). [↗](#)**

### Full cost recovery

If you are an organisation in the voluntary sector, we can cover a proportion of your organisation's overheads, which must be appropriate to the time or resources used for your project. We can also cover a proportion of the cost of an existing member of staff, as long as they are not working exclusively on the funded project. We expect this contribution to be calculated using Full Cost Recovery.

By voluntary sector we mean organisations that are independent of government and whose governance, finance and resources have a voluntary focus. For example, a voluntary sector organisation might have a board of trustees, be funded by grants and donations, and rely upon volunteers to carry out their aims.

We cannot accept applications that include Full Cost Recovery from public sector organisations (for example, government-funded museums, local authorities or universities).

Recognised guidance on calculating the Full Cost Recovery amount that applies to your project is available from organisations such as the Association of Chief Executives of Voluntary Organisations ([ACEVO](#)) [↗](#) and [Big Lottery Fund](#) [↗](#). You will need to show us how you have calculated your costs, based on recent published accounts. You will then need to tell us on what basis you have allocated a share of the costs to the project you are asking us to fund, and we will assess whether this is fair and reasonable.

**If you are an organisation in the voluntary sector, we can cover a proportion of your organisation's overheads appropriate to the time or resources used for your project.**

## Private owners of heritage

### If you are a private owner of heritage, we will not fund:

- works that can reasonably be considered to be the statutory duty of the owner
- the purchase of buildings or any heritage assets
- construction of new buildings

As a private owner of heritage, you must demonstrate that the public benefit of your project outweighs any private gain.

### If your project includes any capital work, you will need to show us that your project:

- will significantly increase public access and public engagement with heritage
- has clear public enthusiasm and support
- needs National Lottery investment

## Community grant schemes

As part of your project, you can ask us to contribute towards a ring-fenced pot of money that you can use to fund other groups/organisations to deliver small discrete projects. We call these grants 'community grants'. These community grants will contribute to the overall aims of your project. Any grants like this must demonstrate good value for money, and public benefit should outweigh any private gain. You will manage the funding pot, develop an application process with a decision panel and monitor progress. The community grant scheme must also be publicised by you so it is widely known and open to all.

You can award grants to not-for-profit community groups or private owners of heritage (for example, owners of archives, land or buildings). The grants you award can be for both capital works and activities.

**You can ask us to contribute towards a ring-fenced pot of money to fund other organisations to deliver smaller projects.**

### We recommend that the total community grant scheme pot does not exceed **£200,000 in total.**

You should limit any individual grant to:

- activity: £10,000
- capital: £25,000

Some projects may need to exceed the limit in order to conserve heritage that is key to the character of an area, for example, if you are restoring a building as part of a townscape scheme. If your project needs a larger community grants budget or bigger awards to individual third parties, you will need to tell us about this in your Expression of Interest form and justify this in your application

If your project includes community grants to either organisations or individuals for capital works to the heritage they own, these grants must be governed by a ten year future management and maintenance agreement.

Acquisitions of land, buildings or heritage items are not allowable under community grant schemes.

You can find more information about [management and maintenance](#) and [community grants](#) on our [website](#).

**If your project needs a larger community grants budget or bigger awards to individual third parties, you will need to justify this in your application.**

Any grants to third parties must demonstrate good value for money and public benefit should outweigh any private gain.

# Before you apply

## All of the projects that we support need to:

- achieve one or more of the outcomes on this page, including the mandatory one
- address environmental sustainability
- promote the role of the National Lottery in making the project possible
- carry out evaluation

The information in this section will help you to consider these points in the early stages of planning your application.

## Guidance

We have lots of information on our website to help you manage and develop your project.

### The following are essential reading for all projects:

- [Information on acknowledging your grant](#)
- [Evaluation guidance](#)
- [Environmental sustainability guidance \(available on our website\)](#)

### If your project involves capital works, you should also read the following documents on our website:

- Conservation plan guidance
- Management and maintenance plan guidance

**Outcomes are changes, impacts or benefits that happen as a direct result of your project.**

## Outcomes

We describe the difference we want to make with our funding through a set of nine outcomes. Outcomes are changes, impacts or benefits that happen as a direct result of your project.

All of the projects we fund will achieve one or more of these outcomes. The number of outcomes you achieve will depend on what you want to deliver and should be proportionate to the size of grant you are requesting or the specific focus of your project.

We expect projects to achieve some outcomes more strongly than others. Please focus on the outcomes that are strongest for your project, as we will monitor your progress against these and you will use them to evaluate the change your project has made. All projects must achieve the '**wider range of people will be involved in heritage**' outcome as a minimum.

- **A wider range of people will be involved in heritage (mandatory outcome)**
- Heritage will be in better condition
- Heritage will be identified and better explained
- People will have developed skills
- People will have learnt about heritage, leading to change in ideas and actions
- People will have greater wellbeing
- The funded organisation will be more resilient
- The local area will be a better place to live, work or visit
- The local economy will be boosted

**You can find more information about our outcomes and how you can measure your progress against them in the [Application form and help notes](#) for this programme.**

## Environmental impact

You will need to consider how to make your project more sustainable by increasing positive environmental impacts and reducing negative impacts, and should embed this into your project from the beginning.

You should also think about how you will evaluate your sustainability measures and ensure that this is part of your project's evaluation strategy. We will ask you to identify and report on the steps that you are taking. Our Environmental impact guidance provides some general advice, and you can also access practical support from the [Fit for the Future network](#).

## Promotion of your National Lottery grant

You must commit to acknowledging your grant and promoting the National Lottery. You can find out more about our minimum requirements for acknowledgement on our [website](#). As well as acknowledging your grant, we ask you to provide special access and/or offers for National Lottery players.

We encourage you to develop innovative and creative offers or promotions designed to thank National Lottery players for their support and to raise awareness of your funding. Examples of these, and other imaginative things that projects have done can be found on our website.

## Evaluation

We recommend you build in evaluation from the beginning of your project. Our evidence shows that the more carefully projects budget for their evaluation, the higher the quality of the final report. We have recommended minimum spends on evaluation and you can find further guidance on this on our [website](#).

### At the end of your project we will expect some evaluation feedback, in two parts:

- your own evaluation report, sent in before we pay the last 10% of your grant
- an evaluation questionnaire, within one year of completion. You can see the information we will want you to report in our [evaluation guidance](#) on our website

**You must commit to acknowledging your grant and promoting the National Lottery.**

# Your contribution

**Your contribution will depend on the amount of grant you are asking for.**

## For grants between £10,000 and £100,000

At this grant level, we do not require a contribution from you but you should consider whether other funders, organisations or people might be able to support your project. This will help show us that other people and organisations are committed to your project. We will assess whether your project offers good value for money and we will consider your contribution.

## For grants between £100,000 and £250,000

At this grant level, we ask that you contribute at least 5% of your project costs. We describe this contribution as 'partnership funding' and it can be made up of cash, non-cash contributions, volunteer time or a combination of all of these.

### Cash contributions

This might be from your organisation's own reserves, a donation from a benefactor, a grant from another funder or other fundraised support (for example, crowd funding).

### Non-cash contributions

This includes anything you need for your project that you do not have to pay for (for example, room hire or equipment). We can only accept non-cash contributions if they are direct project costs that could have been part of your project budget.

### Volunteer time

This is the time that volunteers give to support the delivery of your project. This could include administrative work, clearing a site or working as a steward at an event. You should not include costs for the time of people who will take part in your activities (for example, people who attend a workshop or go on a guided tour).

**We use a standard rate to calculate the value of your volunteer time:**

Professional volunteer (for example, accountancy or teaching)	£50 per hour
Skilled volunteer (for example, leading a guided walk)	£20 per hour
Volunteer (for example, clearing a site or acting as a steward at an event)	£10 per hour

### National Lottery funding

You can use funding from another National Lottery distributor to contribute towards your project as partnership funding. However, this can't count towards your minimum contribution of 5%, which must be made up of contributions from your own or other sources, not including the National Lottery.

# How we assess your application

**Our application process is competitive and we cannot fund every good quality application that we receive. To help you develop your idea, we offer advice before you apply, which we will not use when assessing your project.**

When you have read this application guidance, you can tell us about your idea by submitting a project enquiry form online. We will then contact you within ten working days to let you know whether you meet our requirements and to offer you some advice to help you develop your project further.

Once you send us your online application, we will check everything is in place and will be in touch to let you know when you can expect a decision. Unless we need to check anything with you, it is unlikely that you will hear from us again until we notify you of the decision.

As an organisation that gives out public funds, we carry out some checks on the information you provide to us when you apply (for example, we may check your history with us or carry out identity or fraud checks).

## Our assessment process depends on your grant request

Grant amount	Assessment time	Decision meeting	Decision maker
£10,000– £100,000	8 weeks	Monthly panel meeting	Head of Region
£100,000– £250,000	12 weeks	Once assessed your application will go to the next quarterly committee meeting	Committee

## Application process



Read application guidance and plan your project



Send us a project enquiry form to get advice from us



Further develop your project



Apply online when you are ready or by the published deadline if you are applying for over £100,000



We will assess your application in 8 or 12 weeks



We will contact you to let you know the decision

### When we assess your application, we will consider a range of factors including:

- whether your project is relevant to heritage in the UK
- the needs and opportunities your project will address
- how strongly your project will achieve our outcomes
- overall value for money
- potential risks to the project's success
- how project outcomes will be sustained
- your approach to environmental sustainability

If your application is assessed as providing low value for money then it may be rejected earlier during the assessment period, and we will let you know this at the time.

You should answer all of the questions in the application form and make sure you describe your project in question 1c. This is the only section of the application form that is directly presented to our decision makers. It is your opportunity to explain your project in your own words.

### Information we need

You will need to know enough detail about your project to be able to provide us with realistic costs.

The table below shows you the level of information we need to be able to make a judgement about the outcomes that your project will achieve.

#### Level of information

##### Activities

#### Information about the group or groups of people you will work with.

Include estimates of numbers. Show us that you have been in contact with these groups and that they are keen to take part.

#### Information about the activities your project will deliver.

In some cases you will be able to give us exact details of activities you will do to engage people or communities (or both). As a minimum, provide us with a detailed plan for Year 1 and/or Year 2 and describe the process you will go through to develop your project in Years 2 and/or 3. You can include costs relating to this development work.

#### Information about which partners you will work with (if any).

If you will be developing partnerships during your project, show us that you have made initial contact and that they are willing to be involved.

##### Capital

**Details of the capital work you intend to do**, providing certainty that you will have sufficient resources to develop them further, and sufficient contingency budget.

**Some visual aids showing what difference the capital work will make**, such as photographs of how the heritage looks now, and images of how it will look at the end of your project.

**Depending on the complexity of your project**, we may ask you to submit: a survey of the physical heritage (for example a condition survey of a historic building or monument), or a tree survey; evidence showing that the work you plan to do follows good practice (for example a letter of support from your Conservation Officer or the appropriate statutory body).

# Supporting documents

**You will need to submit the relevant supporting documents, which are outlined in this section.**

Some of these documents will not apply to your project and the additional information will help you decide if they are relevant.

Everything we need to assess your application is in the application form and the following supporting documents. Please do not submit any extra documents, as we will not use them in assessment.

## 1. Governing document (e.g. constitution)

**We do not need to see your governing document if:**

- you are a public organisation (for example, a local authority)
- you are a private owner of heritage

**Your governing document should include the following:**

- the name and aims of your organisation
- a statement that prevents your organisation from distributing income or property to its members during its lifetime

- a statement which confirms that, if your organisation is wound up or dissolves, the organisation's assets will be distributed to another charitable or not-for-profit organisation and not to the organisation's members
- the date when it was adopted and the signature of your chairperson (or other authorised person)

**We are unable to accept your application if your constitution does not include the above.**

Please make sure your project falls within the aims of your organisation.

The Charity Commission provides [guidance](#) on creating a governing document.

## 2. Accounts

Include your most recent audited or accountant verified accounts.

If you are a newly formed organisation and do not have a set of audited accounts, please submit your last three bank statements or a letter from your bank confirming that you have opened an account.

We do not need your accounts if you are a public organisation (for example, a local authority).

## 3. Project plan (mandatory)

All applicants must submit a project plan.

We recommend you use the template provided on our [website](#).

## 4. Partnership agreements (if applicable)

If you plan to work with another organisation to carry out your project, it is good practice to have a partnership agreement. This document should outline both partner's roles and responsibilities and should be signed by all parties. You can find an example of a partnership agreement on our website, which you may find helpful as a starting point. This agreement should reflect the needs of your project and you may need to seek independent advice.

You only need to provide your partnership agreement if your partner organisation will receive grant payments to deliver part of your project.

## 5. Condition survey

If your project involves the conservation of heritage, you must provide a condition survey or another appropriate document (for example, a draft or outline conservation plan). This document should tell us the current condition of the heritage and the works that are needed to return the heritage to a good condition.

For example, if you plan to conserve a local war memorial, you will need to know the current condition and what repair works are needed. The survey or report should also indicate the relative priority of the suggested works so you know which are the most critical and need to be tackled most urgently.

## 6. Job descriptions (if applicable)

If you plan to recruit a new member of staff to help deliver your project, including an apprentice, please submit a job description for that post.

Please note: You must openly advertise all new staff posts, unless you are extending the hours of an existing member of staff or are moving an existing member of staff into a project post.

## 7. Briefs for internally or externally commissioned work (if applicable)

Briefs describe any work you plan to commission during your project. If you are commissioning work (for example, from an artist or an architect) then you should submit a brief.

The brief should describe the works, how long they will take, how much they will cost and the skills required. You can find a template brief on our website.

## 8. Images (no more than six, if applicable)

If relevant, please provide images that help illustrate your project.

For example, if your project focus is a local photography archive, you may wish to provide a few images of the collection.

If you are seeking to improve a landscape or conservation area you should include a map of the area that shows the location of all the projects you will deliver.

## 9. Letters of support (no more than six, if applicable)

Letters of support are a good way of showing us that you have spoken to other people and that they are interested and committed to your project.

Please submit letters of support from the people involved in your project, rather than general supportive statements. For example, if you are planning to deliver workshops at local youth clubs then a letter of support will show us that they want to take part.

If possible, letters should be on headed paper or signed.

## 10. Calculation of full cost recovery (if applicable)

If you are including full cost recovery in your project budget, you must include a document that outlines your calculation.

**We will not begin assessing your application until you send us all of the relevant supporting documentation.**

## 11. Ownership documents (if applicable)

If you are planning any capital works, or intending to purchase land/buildings/collections, please provide copies of any relevant ownership documents (for example, Land Registry ownership documents, or a lease or heads of terms).

You should attach the relevant supporting documents to your application form online. We can accept most standard file formats.

When sending us supporting documents, please use the document names above so we can easily identify each document. Not using this format may delay your application.

# What happens after you apply?

## If your application is successful:

You must wait to receive permission to start from us before beginning your project. You will need to submit a form online and provide us with:

- your bank account details (mandatory)
- proof of ownership/leasehold requirements (for all capital projects, if any changes have taken place since application)
- details of statutory permissions and/or licences required and obtained (if applicable)
- confirmation of partnership funding (if applicable)
- project cashflow forecast (only applicable for projects that are undertaking capital works or for grants over £100,000)

- project management structure and methods for choosing consultants, contractors and suppliers (for grants over £100,000)
- project timetable or work programme (for grants over £100,000)

Your organisation will need a bank account. The name on this bank account must exactly match the name of the organisation making the application.

## If your grant request is below £100,000, we will pay your grant in three instalments:

- 1 We will give you 50% of the grant up-front
- 2 Once you have spent the first half of your total eligible project costs, we will give the next 40%
- 3 We will pay the final 10% of your grant when you have finished your project and sent us a final completion report and project evaluation

If your grant request is above £100,000, we will pay instalments of our grant after the work that you are asking us to pay for has been done. We will also retain the last 10% of your grant until we are satisfied that the project is complete and you have submitted your completion report and evaluation.

## Payment percentage

We will fund a percentage of your total project costs. If you or another organisation is contributing cash to the project, then we will be granting you less than 100% of the project costs. We describe this percentage as the 'payment percentage.'

### Here's an example where the payment percentage is 90%:

Total project costs	£50,000
Your cash contribution	£5,000
Your grant	£45,000
Payment percentage	90%

We will pay you the 'payment percentage' of the total amount you spend on the project.

- If you spend less on the project than you were expecting, then we will not pay you the full grant
- If you spend more on the project than you were expecting, then we will be unable to give you more than the grant we awarded you

### Here's an example, where the total amount spent on the project was less than expected:

Total project costs in the application form	£50,000
Payment percentage	90%
Total amount spent on the project	£45,000
Total amount we pay	£40,500 (90% of £45,000)

## Reporting

For grants of under £100,000 we will expect you to report on the progress of your project at least once during the project life and once at completion alongside your evaluation report.

If you are requesting a grant of over £100,000 we will expect you to report on the progress of your project at least each time you request a payment in arrears. Progress reporting will be per quarter at a minimum, including on completion with the evaluation report.

We will expect evidence of delivery and expenditure, such as photos, reports, invoices and receipts. More detailed information on our monitoring process can be found in the [Receiving a grant](#) document on our website.

## Terms of grant

We will ask you to sign up to our [Standard terms of grant](#), which you can find on our website.

The duration of terms of grant depends on the amount you are awarded, the nature of the organisation applying and the type of project.

### For grants from £10,000 to £100,000, the terms of grant will last from the date of Permission to Start until:

- **Activity:** the date the project finishes (known as the Project Completion Date)
- **Capital:** five years after the Project Completion Date if you are a not-for-profit organisation or 10 years after the Project Completion Date if you are a private owner of heritage
- **Digital:** five years after the Project Completion Date if you are a not-for-profit organisation or 10 years after the project finishes if you are a private owner of heritage

- **Acquisition:** If your project includes buying a heritage item, land or building, the terms of the grant will last indefinitely. If you wish to dispose of what you have bought in future, you must ask for our permission and we may claim back all or part of our grant.

### For grants from £100,000 to £250,000, the terms of grant will last from the date of Permission to Start until:

- **Activity:** the Project Completion Date
- **Capital:** 20 years after the Project Completion Date
- **Digital:** 20 years after the Project Completion Date
- **Acquisition:** If your project includes buying a heritage item, land or building, the terms of the grant will last indefinitely. If you wish to dispose of what you have bought in future, you must ask for our permission and we may claim back all or part of our grant.

## Legal and policy requirements

### Ownership

We expect you to own any property (land, buildings, heritage items or intellectual property) on which you spend the grant.

### Land and buildings

For projects that include works on land and buildings, you must own the freehold or have a lease that meets our requirements:

#### For grants from £10,000 to £100,000:

- **Not-for-profit organisation:** Your lease must have five years left to run after the Project Completion Date
- **Private Owner:** Your lease must have at least ten years left to run after the Project Completion Date
- **Land/buildings in third party ownership:** We will either require the owner to sign up to our terms and conditions directly with us or require you to enter into a legally binding agreement with the owner

**For grants from £100,000 to £250,000 you must own the freehold or have a lease which meets the following requirements:**

- your lease must have 20 years left to run after the Project Completion Date
- if a third party owns the land (which may include a project partner) we will either require them to sign up to our terms and conditions directly with us or require you to enter into a legally binding agreement with the owner

**All leases must meet the following requirements:**

- we do not accept leases with break clauses (these give one or more parties to the lease the right to end the lease in certain circumstances)
- we do not accept leases with forfeiture on insolvency clauses (these give the landlord the right to end the lease if the tenant becomes insolvent)
- you must be able to sell on, sublet the whole or part, and mortgage your lease but if we award you a grant, you must first have our permission to do any of these

If your project involves buying land or buildings, you must buy them freehold or with a lease with at least 99 years left to run.

### Heritage items

For projects involving buying a heritage item or carrying out conservation work to a heritage item (for example, a steam train or a painting), you must buy or own the item outright.

Private individuals or for profit organisations cannot use our funding to acquire heritage items.

If you are borrowing item/s as part of the project (for example, for an exhibition) and are asked to contribute towards the costs of conservation then we may accept this cost if it forms a small part of your project.

The owners of the item/s may need to be tied into your partnership agreement, or tied into the Standard terms of grant, if a grant is awarded. Please include this in your project enquiry form, if you think this will apply to your project.

If you are planning a capital building project with the purpose of storing or displaying a collection that you do not own we will require the owner of the collection to be tied into the Standard terms of grant (and any additional conditions set out in the grant notification letter) if a grant is awarded. Please include this in your project enquiry form, if you think this will apply to your project.

### We cannot fund private individuals or for-profit organisations to buy buildings, land or heritage items.

### Digital outputs

We have specific requirements, which are set out in our Standard terms of grant, for 'digital outputs' produced as part of any project.

We are using the term 'digital output' to cover anything you create in your project in a digital format that is designed to give access to heritage and/or to help people engage with, and learn about, heritage.

For example, this could be digital images, sound files or data, a website with heritage material, an app, virtual reality, or a film made using digital technology.

The requirements do not apply to digital outputs that have no heritage content or do not engage people with heritage, for example, a website that contains only information about your organisation/ project or events listings.

**If you receive a grant between £10,000 and £100,000, all digital outputs must be:**

- **'usable'** (the output functions as intended and is kept up-to-date) and **'available'** (the output is available to the public as intended; all the digital files are held securely; you can give access to the digital files on demand) for five years from the Project Completion Date
- free of charge for non-commercial uses for five years from the Project Completion Date
- licensed for use by others under the Creative Commons licence 'Attribution Non-commercial' (CC BY-NC) for five years from the Project Completion Date, unless we have agreed otherwise

If the lead applicant is a private owner of heritage, the terms of grant will last for 10 years from the Project Completion Date.

#### In these cases all digital outputs must therefore be:

- 'usable' and 'available' for 10 years from the Project Completion Date
- free of charge for non-commercial uses for 10 years from the Project Completion Date
- licensed for use by others under the Creative Commons licence 'Attribution Non-commercial' (CC BY-NC) for 10 years from the Project Completion Date unless we have agreed otherwise

#### If you receive a grant above £100,000, all digital outputs must be:

- 'usable' (the output functions as intended and is kept up-to-date) for five years from the Project Completion Date
- 'available' for as long as your terms of grant last
- free of charge for non-commercial uses for as long as your terms of grant last
- licensed for use by others under the Creative Commons licence 'Attribution Non-commercial' (CC BY-NC) for as long as your terms of grant last, unless we have agreed otherwise

#### We expect:

- websites to meet at least W3C Single A accessibility standard
- you to use [open source technologies](#)  where possible
- you to contribute digital outputs to appropriate heritage collections

Guidance for digital projects can be found on our [website](#) .

#### Procurement and staff posts

You must follow our procurement guidelines. As an overview, projects with any goods, works or services worth more than £10,000 (excluding VAT), must get at least three competitive tenders/quotes. For all goods, works and services worth more than £50,000 (excluding VAT), you must provide proof of competitive tendering procedures.

Your proof should be a report on the tenders you have received, together with your decision on which to accept. You must give full reasons if you do not select the lowest tender. Depending on the nature of your project you may be required to comply with European Procurement Rules and UK Public Procurement Regulations 2015.

If a project partner is providing goods or services paid for through the grant, then you need to tell us why they have been chosen and why an open tender process is not appropriate. We will consider whether this is the best way to carry out your project and expect you to show value for money and meet any relevant legal requirements.

Partners are not subcontractors. They will take on an active role in the project and will be involved in the project as a whole. They will help to report on progress, attend regular partnership meetings and support project evaluation. We will ask to see your partnership agreement as a supporting document with your application.

#### You must also openly advertise all project staff posts, with the following exceptions:

- you have a suitably qualified member of staff on your payroll that you are moving into a project post. (You still need to provide a job description for this post)
- you are extending the hours of a suitably qualified member of staff on your payroll so that they can work on the project. In this case we will fund the cost of the additional hours spent on the project and you will need to tell us about their role
- if you are a voluntary organisation and are including a proportion of a staff member's time in your full cost recovery calculation

We are committed to ensuring that the heritage sector is inclusive and sustainable. You must use the Living Wage rate (and London Living Wage where applicable) for all project staff. Please show evidence of budgeting for Living Wage rates in your staff costs and budgets.

Procedures to recruit consultants and contractors must be fair and open and keep to the relevant equality legislation.

If you are unsure about your obligations, we advise you to take professional or legal advice. If you have already procured goods, works or services for the project that are worth more than £10,000 (excluding VAT), you will need to tell us how you did it. We cannot pay your grant if you have not followed the correct procedure.

**We are committed to ensuring that the heritage sector is inclusive and sustainable.**

### If your application is unsuccessful

The assessment process is competitive and we cannot fund all of the good quality applications that we receive. If your application is unsuccessful, we may suggest that you make another application but you must talk to us about this before reapplying.

### State aid

State aid is a European Community term which describes forms of assistance (usually financial) from a public body given to undertakings on a discretionary basis with the potential to distort competition and affect trade between member states of the European Union.

State aid rules prevent undue competition arising when organisations have a significant economic advantage by having all or some funding provided from state resources to the detriment of other organisations who can only use their own private funding.

We are a public funder and our grants are subject to state aid rules. If we awarded a grant that was subsequently found to be in breach of state aid rules, we would be required to reclaim those funds from the grantee.

### In most cases, projects supported by us are not state aid because they:

- are not considered to be economic activity; and/or
- are considered non-selective in that the main beneficiary is the general public; and/or
- would not have a measurable effect on intra EU trade

These projects are considered "no aid" as they fall under the Notice on the Notion of State Aid (NoSA).

We fund land acquisitions and natural heritage projects. These are normally considered "no aid" as their primary objective is about conservation and/or restoration of landscapes, habitats and species for the benefit of biodiversity.

**If your application is unsuccessful, we may suggest that you make another application but you must talk to us about this before reapplying.**

In some instances where projects are of a significantly large scale, economic in nature and therefore could attract commercial challenge, Article 53 of the 2014 General Block Exemption Regulation (EC) No. 651/2014 (GBER) allows for aid towards the capital costs of a cultural or heritage project for up to €100m and operating costs of up to €50m subject to the demonstration of suitable funding gaps in each case. These projects can be supported under a block exemption.

It is an applicant's responsibility to check whether state aid clearance is required. Applicants should seek independent legal advice if they are unsure whether a project will require clearance.

**For more information about state aid please visit: [www.gov.uk/guidance/state-aid](http://www.gov.uk/guidance/state-aid)**

# Additional information

The evaluation budgeting and reporting section below is relevant for all projects. You should also read the following sections if they are relevant for your project:

[Buying land and buildings](#)

[Buying heritage items and collections](#)

[Projects involving land, habitats and species](#)

[Third party ownership requirements including for community grants](#)

## Evaluation budgeting and reporting

We recommend allowing a budget for evaluation of between 2% and 7% of your total projects costs. Your budgets for evaluation should not be less than the 2%. For example, if a project applies for funding of £190,000 we would expect a minimum of £3,800 (2%) to be allocated to evaluation. If your evaluation budget is lower, you will need to tell us why in the description for this project cost.

You will be asked to write an evaluation of your project and attach it to your final completion report. We aim to fund projects that create positive and lasting change for people and communities.

**We aim to fund projects that create positive and lasting change for people and communities.**

Asking our projects to submit evaluation reports enables us to demonstrate that difference and to know whether a project has spent the grant appropriately and desired outcomes have been achieved. We report on these achievements through continuous programme evaluation, which relies on information from project level self-evaluations.

We will also send you an evaluation questionnaire within one year of the completion of your project. It will ask for information on the numbers of activities undertaken, the number of visitors you have received (where appropriate), the amount of training and volunteer involvement in your project, and any extra staff you have taken on. For all of these 'outputs' of your project, we will be interested in the types of people that have benefited as well as the overall numbers.

The quantitative information that we expect you to collect for your evaluation questionnaire is outlined below. For visitors, volunteers, trainees and staff, we also ask for demographic details by gender, age, ethnicity, sexual orientation, disability and social class.

On their own, these numbers will not tell the whole story of what your project is about, and your evaluation report will need to tell us about the quality of your project as well.

### 1. Activities

**We will want you to tell us how many activities were carried out using your grant. The activities we list are:**

- **Open days:** days when you open a heritage site, collection or feature to the public, which cannot normally be visited. It can also include days when you do not charge for admission at attractions that normally charge an entrance fee.
- **Festivals:** programmes of events or activities for the public, lasting one day or more, with a clearly defined theme or focus.

- **Temporary exhibitions and displays:** exhibitions or displays that are not a permanent feature of your site and last for less than 12 months.
- **Guided tours or walks:** these may be guided by either your staff or people outside your organisation, but must be based on your heritage site or feature.
- **Visits from schools and colleges:** these can include visits by primary, secondary and higher levels of education.
- **Outreach sessions in schools and colleges:** visits made by your staff or the volunteers working with you on the project.
- **Other on-site activities:** activities that take place at your site that you feel are not included under the other headings.
- **Other outreach or off-site activities:** activities that have taken place away from your site that you feel you have not been able to tell us about.

## 2. Visits

Data about the number of people who visited your attraction the year before your project started and the year after it completed. If the attraction or facility we fund is part of a bigger attraction (for example, if we funded a gallery within a larger museum), we only want you to give us visit numbers for the part of the attraction or facility that we give money towards.

## 3. Volunteers

The number of volunteers involved with the funded project and the number of volunteer hours they contributed.

## 4. Training

The number of people trained through the project, under a set of skills headings covering conservation, audience engagement and management.

Training includes any structured programme of on-the-job training, skill-sharing, work-based learning and work experience. We ask you to distinguish between training for your staff and training for volunteers.

## 5. New staff

The number of new staff posts created to carry out your project, and the number of these still being maintained after the end of the project.

For more information on evaluation, read the guidance on our [website](#). 

## Buying land and buildings

We can fund projects that involve the purchase of land and/or buildings that are important to our heritage, and are at or below market value. The principal reasons for purchase must be a benefit for long-term management of heritage and for public access.

If you already manage the land and/or buildings that you want to buy, you will need to show us what extra benefits the purchase will bring.

You will need to show that all options for entering into an appropriate management agreement with the freehold owner have been explored before seeking a grant for purchase.

## We can help you to buy land and/or buildings if you demonstrate in your application form that:

- any risks to their preservation will be reduced by your purchase
- the price accurately reflects the condition and value
- the purchase will contribute to more people engaging with the heritage
- you have adequate plans for management and maintenance over a period of at least 10 years after project completion
- you can demonstrate their significance to the heritage in a local, regional or national sense

We will not support purchases that we think are above market value. If we award you a grant, we may require a charge on the land and/or buildings. We can fund all associated purchase costs such as agent's fees, saleroom fees and taxes. Please ensure these are reflected in your cost table.

If your project includes **buying a heritage item, land or building**, the terms of the grant will last indefinitely. If you wish to dispose of what you have bought in future, you can ask for our permission. We may claim back our grant.

### The information we need about the purchase:

- a location plan to scale, clearly identifying the extent of the land or building to be purchased and any relevant access to the land and building
- one independent valuation. This should include a detailed explanation of how the assessment of the market value was reached. We welcome valuations by the District Valuer. We may also arrange for our own valuation. We will normally be prepared to support a purchase at a figure up to 10% above the top of any range in an accepted valuation
- evidence that the current owners are the owners (have legal title) and have the right to both sell the land and/or building and transfer the title to the new owner; and evidence of any legal covenants, or rights (such as fishing, shooting, mineral, drainage), or long- or short-term tenancies, or rights of way or access, or any other interests which are attached to the land or building

### Buying heritage items and collections

We can fund projects that involve the purchase of heritage items or collections that are important to our heritage and contribute to achieving our outcomes.

#### We will only help to buy items or collections if you demonstrate in your application form that:

- risks to their long-term future will be reduced if you buy them
- the price accurately reflects their condition and value
- you have a collecting policy and the purchase is in line with your policy
- the items will be accessible to the public once purchased
- you can show that you have adequate plans for their long-term care and maintenance

We will fund buying works of art, archives, objects and other collections that are important to the heritage and which were created more than 10 years ago. We will also fund buying more recent items of heritage importance, but only if they are part of a larger collection, which is more than 10 years old.

If your project includes buying a heritage item, land or building, the terms of the grant will last indefinitely. We may require a charge on the item(s). If you wish to dispose of what you have bought in future, you can ask for our permission, and we may claim back our grant. If you already have the item(s) on loan to your organisation, you will need to show us what extra benefits buying them will bring.

There is unlikely to be sufficient public benefit in the acquisition of a heritage item by one publicly funded collection from another for us to consider funding the purchase.

**If your project includes buying a heritage item, land or building, the terms of the grant will last indefinitely.**

We will not support purchases that we think are above market value.

We will not give priority to a purchase simply because of an export stop. An export stop gives organisations a chance to raise money needed to buy an item or collection that is intended for export. The export stop defers the export licence for a specified period in order that an offer may be made from within the UK.

If you intend to apply to us you should contact your local office as soon as possible, and within the first deferral period.

If you need an urgent decision from us, you must contact your local office to discuss this before you apply. We can only consider offering fast-track decisions for buying heritage items or collections if the deadline means we cannot assess your application within our usual timetable.

Our staff will discuss with you the implications of our fast-track procedure for your application. If you have a short amount of time to buy the item(s), we ask you to provide us with details of how this object will be integrated into your existing learning activities and public programmes.

We do not require you to have a separate activity plan but we will expect activities and programmes to be appropriate for the object you are acquiring.

## Costs

### The eligible costs associated with a purchase include:

- the purchase price itself
- fees incurred by your organisation as the buyer including valuations; agent's fees; and the buyer's premium for purchases at auction
- unreclaimable VAT

### Ineligible costs include:

- seller's fees (for example, legal and agents' fees)

You should also include the costs of all the other activities you will do in your project once you have bought the item(s). The costs of your activities must be in proportion to the cost of the item(s).

### The information we need about the purchase

#### You will need to provide:

- information about the history and significance of the item(s)
- a statement about why you are the right organisation to own the item(s). This should be supported by what you say in your policies, such as your acquisition or collections-management policy
- evidence that you will become the full owner of the item(s) or a good case for well-planned joint ownership
- documentary proof of the provenance (origin) of the item(s). Examples of proof include sale documents, legal documents, export documents, written statement by the current owner, history of the item(s)

- evidence that the current owners are the owners (have legal title) and have the right to both sell the item(s) and transfer the title to the new owner
- an independent valuation on an open-market basis for the item(s) you wish to buy; this should include the valuer's reasoning to support the valuation, not just a statement of the value; you do not need to commission a valuation for an item (or items) which is the subject of an export stop or part of the Treasure Valuation Process
- evidence that you have followed current guidance on portable antiquities, illegal trade, and items and collections that could be sensitive. You should provide a statement outlining your research into the relevant laws and guidelines on ethical acquisitions
- a description of how you will manage the item(s) and keep it secure, both at the time you buy it and in the future
- a conservator's report saying whether the item(s) have conservation needs and, if so, how you will meet those needs. The report should include evidence that your organisation has the right environmental conditions to house the purchase

## Projects involving land, habitats and species

### Biological-recording projects

Any species observations must comply with the standards for data quality and accessibility as set out by the National Biodiversity Network (NBN) on the [NBN Atlas](#). These observations must be made available to the public on an open licence at capture resolution, subject to sensitive species restrictions.

There are several ways of achieving this. NBN Atlas Data Partners may prefer to supply datasets directly to the NBN Atlas. Alternative options include through online recording tool [iRecord](#) or they can be shared with your local or regional [environmental record centre](#) for onward transmission to the [NBN Atlas](#). Please ensure if submission to the NBN Atlas is via a third party they are aware of the requirements to submit this data at capture resolution on an open licence.

If you are unsure how best to proceed, please contact the NBN directly to discuss the most appropriate data supply route. You must also meet our digital requirements.

### The information we need about agricultural state aid

You will need to provide state aid clearance from Defra if agricultural state aid rules are likely to apply.

### Working on private land

Many priority habitats and species occur on land that is owned by private individuals or for-profit organisations.

We do accept applications for landscape and nature projects that are on both public and privately owned land, provided that public benefit outweighs any private gain for the individual land owner(s) where they are private individuals or for-profit organisations.

The lead applicant for the project must however be a not-for-profit organisation or a public body if your grant request is for £100,000 or more.

**You will need to provide state aid clearance from Defra if agricultural state aid rules are likely to apply.**

**In order to include private land your overall project must meet all four criteria below:**

- have a core of good-quality priority habitat or support a significant population of priority species from which to extend out from
- enhance and/or expand the extent and quality of habitat that will help to meet UKBAP habitat and species targets
- contribute to long-term sustainable management of the area
- demonstrate a strategic approach to the conservation of a priority habitat or species

Even when working on private land we will expect some degree of public access to be provided. We also accept the principle of zoned levels of physical access within your project area and that physical access may not always be appropriate or desirable for habitat conservation reasons.

There should already be public access to the land that you own, and you may also have some infrastructure, for example paths or hides, that can help to accommodate increased public access.

**Even when working on private land we will expect some degree of public access to be provided.**

### Third party ownership requirements

#### 1. For landscape and nature projects

Where the land (which may include different plots of land over a wide area) that is the subject of grant funding, is owned by a third party or multiple third parties (including private owners), legal agreements should be put in place between each land owner and the grantee.

There is no prescribed form of agreement but we have specific requirements which should be included in any third party land owner agreements. At a minimum, the land owner agreements should include the following:

**Details of the parties:**

1. Confirmation as to how the land is held (freehold or leasehold)
2. A description of the property (including plans)
3. Covenants on the part of the land owner to maintain the land and provide public access in accordance with the terms of the grant (as applicable)
4. A provision that any onward disposal should be subject to the third party agreement
5. That the agreement will last from the start of the work on the third party land until 10 years following Project Completion

You will need to provide us with copies of the landowner agreements to ensure compliance with these requirements. The landowner agreements will need to be completed and in place before any grant monies are released for work on each plot of land owned by a third party.

## 2. For capital works to built heritage: (for example, historic buildings, ornamental gardens)

If your project involves substantial work to a heritage building that is owned by a third party we will usually expect the owner to become a joint grantee or to grant you a lease which meets our requirements. In some situations rather than make the owner a joint grantee, we may ask them to sign a side letter agreeing to comply with the terms of grant insofar as they relate to matters within their control.

## 3. Community grants

You may wish to make payments to third party owners (including private owners) for activities and capital works that contribute to achieving outcomes for heritage, people or communities (we call these community grants). If you are the lead applicant, you are responsible for ensuring that the specific project outcomes are delivered by third party landowners (including private owners) and that the terms of grant are complied with, including repayment of the grant if necessary.

This should be formalised through third-party agreements that define the outcomes to be delivered on third party land and secure the management and maintenance of capital works from the start of the work on the project until 10 years after the project's completion. This should be a legal agreement between you and the community grant recipient.

For examples of the sort of terms and conditions you may wish to include in your contractual agreements see the Community Grants guidance on our website.

### Legal costs

You may include the costs of adapting and setting up any third-party agreements, including the cost of taking legal advice, as part of the costs in your application.

## What next?

Use our handy checklist at the beginning of this guidance to make sure you are ready to apply. You can then [apply online](#).

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## Contact us

You can find more information about contacting us on our [website](#). 

If you want to find out about our complaints process, please visit our [website](#). 

